OFFICE OF MEDICAID POLICY AND PLANNING

END OF THERAPY DATA COLLECTION

DEDICATED TO GOVERNMENT HEALTH PROGRAMS
End of therapy date (EOT)

“End of therapy date” means the date the therapy regimen ended for physical therapy, occupational therapy, and speech-language pathology and audiology services (i.e. speech therapy). This is the last date the resident received skilled therapy treatment.
The Indiana MDS Web Portal is located at:

https://incasemixreports.mslc.com/
Therapy Data Entry Screen

- Clicking on “Therapy Data Entry” in the header will automatically populate Provider Name and all therapy regimens with outstanding end dates.
- If the user is affiliated with multiple Facilities, a dropdown menu in the “Choose Provider” field allows the user to select from a list of Facilities.
### Therapy Data Entry Screen Concepts

This indicator can be clicked on each column heading to re-order the listing below in ascending or descending order.

<table>
<thead>
<tr>
<th>Field or Column Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provider / Choose Provider</td>
<td>The Provider logged in to the system. If user is associated with multiple providers a dropdown will appear.</td>
</tr>
<tr>
<td>Edit Previous</td>
<td>Clicking this icon will display therapy regimen end dates previously entered by user(s).</td>
</tr>
<tr>
<td>Edit</td>
<td>Clicking this icon will begin the Edit therapy regimen end date process.</td>
</tr>
<tr>
<td>Name</td>
<td>The resident's name.</td>
</tr>
<tr>
<td>Resident ID</td>
<td>The resident's ID assigned by CMS.</td>
</tr>
<tr>
<td>Therapy Type</td>
<td>Indicates the type of regimen displayed: Speech, Occupational or Physical.</td>
</tr>
<tr>
<td>Start Date</td>
<td>The first date of the therapy regimen. Start date is determined by Section O0400 of the MDS.</td>
</tr>
<tr>
<td>End Date</td>
<td>The last date the therapy regimen was in effect for the resident.</td>
</tr>
<tr>
<td>Continue Therapy</td>
<td>Indicates if a therapy regimen was continuing at the quarter’s close.</td>
</tr>
</tbody>
</table>
COLLECTION OF DATA (CONTINUED)

• Adding an End Date

When the user clicks the “Edit” button, a new “Insert” box will display and the following actions will need to be completed:

<table>
<thead>
<tr>
<th>Edit</th>
<th>Name</th>
<th>Resident ID</th>
<th>Therapy Type</th>
<th>Start Date</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>99999991</td>
<td>Speech</td>
<td>5/30/2014</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>99999991</td>
<td>Physical</td>
<td>6/2/2014</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>99999991</td>
<td>Physical</td>
<td>5/21/2014</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON2, TEST2</td>
<td>99999992</td>
<td>Occupational</td>
<td>1/10/2014</td>
<td></td>
</tr>
</tbody>
</table>
COLLECTION OF DATA (CONTINUED)

- Adding an End Date

  Insert End Date either:
  1. By clicking on the calendar icon and selecting a date
  2. By manually typing in the dates in month, day, year format (e.g. 01/01/2018)
**COLLECTION OF DATA (CONTINUED)**

- **Adding an End Date**

  *Note: Only dates prior to the current date may be added.*
  *The following message will display if the End Date is earlier than the Start Date.*
COLLECTION OF DATA (CONTINUED)

- Adding an End Date

Click the “Save” button once all necessary information has been entered. If the record is successfully updated, the following message is displayed:

![Message from webpage]

Therapy End Successfully entered for TESTPERSON1, TEST1.
COLLECTION OF DATA (CONTINUED)

• Continue Therapy

When the user clicks the “Edit” button, a new “Insert” box will display and the following actions will need to be completed:
COLLECTION OF DATA (CONTINUED)

- Continue Therapy

Select the checkbox next to “Continue Therapy” if a therapy regimen has not ended as of 12/31/17. This date represents the initial data cutoff for submitting end of therapy dates.
• Continue Therapy

Click the “Save” button. If the record is successfully updated, the following message is displayed:

![Message from webpage]

Continue Therapy Successfully entered for TESTPERSON1, TEST1.
**COLLECTION OF DATA (CONTINUED)**

- Viewing Therapy Regimen End Dates Previously Entered By User(s).

Clicking the checkbox next to “Edit Previous” will display all therapy regimen end dates previously entered by users.

<table>
<thead>
<tr>
<th>Edit</th>
<th>Name</th>
<th>Resident ID</th>
<th>Therapy Type</th>
<th>Start Date</th>
<th>End Date</th>
<th>Continue Therapy</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Speech</td>
<td>5/30/2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Physical</td>
<td>6/2/2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Physical</td>
<td>8/21/2014</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON2, TEST2</td>
<td>999999992</td>
<td>Occupational</td>
<td>1/10/2014</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Please note that End Date and Continue Therapy entries can be edited from this screen; however, they cannot be completely removed.

You can return to the Therapy Data Entry screen by un-checking the checkbox next to “Edit Previous”.

<table>
<thead>
<tr>
<th>Edit</th>
<th>Name</th>
<th>Resident ID</th>
<th>Therapy Type</th>
<th>Start Date</th>
<th>End Date</th>
<th>Continue Therapy</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Speech</td>
<td>5/30/2014</td>
<td>6/13/2014</td>
<td></td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Occupational</td>
<td>5/30/2014</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Physical</td>
<td>6/2/2014</td>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td>TESTPERSON1, TEST1</td>
<td>999999991</td>
<td>Physical</td>
<td>8/21/2014</td>
<td>9/24/2014</td>
<td></td>
</tr>
</tbody>
</table>
When a resident is assigned a RUG-IV classification category of “Rehabilitation”, the Time-Weighted CMI Resident Roster Report shall examine the therapy start and therapy end dates for each episode of therapy (as provided on both the MDS and Web Portal transmissions).

Each episode of therapy (physical therapy, occupational therapy, and speech-language pathology and audiology services (i.e. speech therapy)) will then be compared to the day count assigned to the resident on the Time-Weighted CMI Resident Roster Report.

If a difference is noted between the therapy episode and the day count assigned on the Time-Weighted CMI Resident Roster Report, the record will be split to reflect a classification category of “Rehabilitation” when a therapy episode occurred and reclassified to exclude therapy services from the record for the remaining day count of the Time-Weighted CMI Resident Roster Report.

If no end date for a therapy episode is found, an end date will be assigned using the regimen start date + 1 day.
In this example, the resident began the quarter with the following:

**Medicare 30-day assessment:**
- Assessment Reference Date (A2300) 12/05/16

**Medicare 60-day assessment:**
- Assessment Reference Date (A2300) 01/02/17

**Speech-Language Pathology and Audiology Services**
- Regimen Start Date 12/03/16
- Regimen End Date 02/01/17

**Occupational Therapy**
- Regimen Start Date 12/03/16
- Regimen End Date – Not Provided

**Physical Therapy:**
- Regimen Start Date 12/04/16
- Regimen End Date – Not Provided
In this example, no end dates are found for the occupational or physical therapy regimens; however, an end date of 02/01/17 was transmitted for Speech-Language Pathology and Audiology Services.

As the therapy episode end date is prior to the end date of the active Medicare 60 day assessment, the record is split after the episode end date of 02/01/17.

The record is then reclassified on 02/02/17 to exclude therapy services from the record for the remaining day count of the Time-Weighted CMI Resident Roster Report.

<table>
<thead>
<tr>
<th>Record Type</th>
<th>Target Date</th>
<th>RUG Class</th>
<th>Start Date</th>
<th>Start Date Field</th>
<th>End Date</th>
<th>Days</th>
<th>Case Mix Index</th>
<th>Payment Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>NP/99/03/99</td>
<td>12/05/16</td>
<td>RAE</td>
<td>01/01/17</td>
<td></td>
<td>01/01/17</td>
<td>1</td>
<td>1.65</td>
<td>Medicare</td>
</tr>
<tr>
<td>NP/99/04/99</td>
<td>01/02/17</td>
<td>RAD</td>
<td>01/02/17</td>
<td>A2300</td>
<td>02/01/17</td>
<td>31</td>
<td>1.58</td>
<td>Medicare</td>
</tr>
<tr>
<td>NP/99/04/99</td>
<td>01/02/17</td>
<td>PD1</td>
<td>02/02/17</td>
<td></td>
<td>03/31/17</td>
<td>58</td>
<td>1.06</td>
<td>Medicare</td>
</tr>
</tbody>
</table>

Total Days 90
EXAMPLE 2 – SCENARIO 1

In this example, the resident began the quarter with the following:

**Entry tracking record:**
- Entry Date (A1600) 01/15/17

**OBRA admission assessment:**
- Assessment Reference Date (A2300) 01/22/17

**Speech-Language Pathology and Audiology Services**
- Regimen Start Date 01/17/17
- Regimen End Date – Not Provided

**Occupational Therapy**
- Regimen Start Date 01/17/17
- Regimen End Date – Not Provided

**Physical Therapy:**
- Regimen Start Date 01/17/17
- Regimen End Date – Not Provided
EXAMPLE 2 – SCENARIO 1 (CONTINUED)

<table>
<thead>
<tr>
<th>Record Type</th>
<th>Target Date</th>
<th>Start Date</th>
<th>Start Date Field</th>
<th>End Date</th>
<th>Days</th>
<th>Case Mix Index</th>
<th>Payment Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>NT/99/99/0/01</td>
<td>01/15/17</td>
<td>01/15/17</td>
<td></td>
<td>01/15/17</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NC/01/99/0/99</td>
<td>01/22/17</td>
<td>RAB 01/15/17</td>
<td>A1600</td>
<td>01/17/17</td>
<td>3</td>
<td>1.10</td>
<td>Medicaid</td>
</tr>
<tr>
<td>NC/01/99/0/99</td>
<td>01/22/17</td>
<td>PB1 01/18/17</td>
<td></td>
<td>03/31/17</td>
<td>73</td>
<td>0.65</td>
<td>Medicaid</td>
</tr>
</tbody>
</table>

Total Days 76

In this example, no end dates are found for any of the therapy regimens. The record receives the rehab RUG from the entry date through the start of therapy date.

The record is then split and reclassified on 01/18/2017 to exclude therapy services from the record for the remaining day count of the Time-Weighted CMI Resident Roster Report.
EXAMPLE 2 – SCENARIO 2

The following example shows how the record in scenario 1 is affected by the entry of end dates in the MDS web portal.

Speech-Language Pathology and Audiology Services
- Regimen Start Date 01/17/17
- Regimen End Date 01/31/17 provided through the web portal

Occupational Therapy
- Regimen Start Date 01/17/17
- Regimen End Date 02/05/17 provided through the web portal

Physical Therapy:
- Regimen Start Date 01/17/17
- Regimen End Date 02/15/17 provided through the web portal
In this example, therapy continues through the latest end date provided for the three therapy regimens. The record receives the rehab RUG through the Physical Therapy end date of 02/15/2017 provided through the web portal.

The record is then split and reclassified on 02/16/2017 to exclude therapy services from the record for the remaining day count of the Time-Weighted CMI Resident Roster Report.
EXAMPLE 2 – SCENARIO 3

The following example shows how the record in scenario 1 is affected by the notation of continuing therapy in the MDS web portal.

**Speech-Language Pathology and Audiology Services**
- Regimen Start Date 01/17/17
- Regimen End Date 01/31/17 provided through the web portal

**Occupational Therapy**
- Regimen Start Date 01/17/17
- Regimen End Date 02/05/17 provided through the web portal

**Physical Therapy:**
- Regimen Start Date 01/17/17
- Regimen End Date – Continuing therapy checked in web portal
In this example, therapy continues through end of the report period, as Physical Therapy was marked in the web portal as continuing as of the end of the quarterly reporting period.

<table>
<thead>
<tr>
<th>Record Type</th>
<th>Target Date</th>
<th>RUG Class</th>
<th>Start Date</th>
<th>Start Date Field</th>
<th>End Date</th>
<th>Days</th>
<th>Case Mix Index</th>
<th>Payment Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>NT/99/99/0/01</td>
<td>01/15/17</td>
<td></td>
<td>01/15/17</td>
<td></td>
<td>01/15/17</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NC/01/99/0/99</td>
<td>01/22/17</td>
<td>RAB</td>
<td>01/15/17</td>
<td>A1600</td>
<td>03/31/17</td>
<td>76</td>
<td>1.10</td>
<td>Medicaid</td>
</tr>
</tbody>
</table>

Total Days 76
### TIME LINE

#### Schedule for Submitting End of Therapy Date Information

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>09/30/2016 *</td>
<td>12/31/2017</td>
<td>01/08/2018</td>
<td>01/31/2018</td>
<td>02/08/2018</td>
</tr>
<tr>
<td>12/31/2016 **</td>
<td>12/31/2017</td>
<td>01/08/2018</td>
<td>01/31/2018</td>
<td>02/08/2018</td>
</tr>
<tr>
<td>03/31/2017</td>
<td>12/31/2017</td>
<td>01/08/2018</td>
<td>01/31/2018</td>
<td>02/08/2018</td>
</tr>
<tr>
<td>06/30/2017</td>
<td>01/15/2018</td>
<td>01/22/2018</td>
<td>02/15/2018</td>
<td>02/23/2018</td>
</tr>
<tr>
<td>09/30/2017</td>
<td>02/15/2018</td>
<td>02/22/2018</td>
<td>03/15/2018</td>
<td>03/23/2018</td>
</tr>
<tr>
<td>12/31/2017</td>
<td>03/15/2018</td>
<td>03/22/2018</td>
<td>04/15/2018</td>
<td>04/25/2018</td>
</tr>
<tr>
<td>03/31/2018 ***</td>
<td>04/15/2018</td>
<td>04/25/2018</td>
<td>05/15/2018</td>
<td>06/07/2018</td>
</tr>
</tbody>
</table>

* Applies only to providers with an FYE of 6/30
** Applies only to providers with an FYE of 6/30, 8/31, or 9/30
*** Current and subsequent quarter cutoff dates determined by the electronic MDS resident assessment transmission schedule as outlined in 405 IAC 1-14.6
The following resources are available via the Indiana MDS Web Portal at:
https://incasemixreports.mslc.com/

- Timeline for completion of End of Therapy “Clean Up” periods (as outlined on previous slide)
- Updated Web Portal User Guide
- Updated Resident Roster User Guide
- IN End of Therapy FAQ sheet
Please direct all questions related to this training video to INHELPDESK@mslc.com and type “VIDEO EOT TRAINING” in the subject line.

Security Note: Please refrain from sending PHI (Protected Health Information), including resident names and identifiers via email.
THANK YOU!!!